

IAP7 Rec'd PCT/PTO 23 JUN 2006

FORM PTO-1390 (Modified)  
U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  
(REV 12-2004)

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER <b>081356-0262</b> U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.5) <b>Unassigned 584345</b>
INTERNATIONAL APPLICATION NO. PCT/JP2004/019750	INTERNATIONAL FILING DATE 12/24/2004	PRIORITY DATE CLAIMED 12/25/2003
TITLE OF INVENTION MUTANTS OF ANTI-CD40 ANTIBODY		
APPLICANT(S) FOR DO/EO/US Nobuaki TAKAHASI et al.		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<p>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</p> <p>4. <input checked="" type="checkbox"/> The US has been elected (Article 31).</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). <input checked="" type="checkbox"/> has been communicated by the International Bureau. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US)</p> <p>6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <input checked="" type="checkbox"/> is attached hereto. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</p> <p>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <input type="checkbox"/> are attached hereto (required only if not transmitted by the International Bureau). <input type="checkbox"/> have been communicated by the International Bureau. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. <input checked="" type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p>		
Items 11 to 20 below concern other document(s) or information included:		
<p>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input type="checkbox"/> A preliminary amendment.</p> <p>14. <input checked="" type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.</p> <p>15. <input type="checkbox"/> A substitute specification.</p> <p>16. <input type="checkbox"/> A power of attorney and/or change of address letter.</p> <p>17. <input checked="" type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 – 1.825</p> <p>18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</p> <p>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</p> <p>20. <input checked="" type="checkbox"/> Other items or information: Petition to Accept Color Photographs; Declaration of Deposit of Microorganisms w/3 receipt of Original Deposits &amp; English language translation of same.</p>		

FORM PTO-1390 (Modified)

JAP20 Rec'd PCT/PTO 23 JUN 2006

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) Unassigned 204945		INTERNATIONAL APPLICATION NO. PCT/JP2004/019750	ATTORNEY'S DOCKET NUMBER 081356-0262																					
<p>The following fees have been submitted:</p> <table> <tr> <td>21. <input checked="" type="checkbox"/> Basic national fee</td> <td>\$300</td> <td>\$ 300.00</td> <td></td> </tr> <tr> <td>22. <input checked="" type="checkbox"/> Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)</td> <td>\$100</td> <td>\$ 200.00</td> <td></td> </tr> <tr> <td>All other situations</td> <td>\$200</td> <td></td> <td></td> </tr> </table>					21. <input checked="" type="checkbox"/> Basic national fee	\$300	\$ 300.00		22. <input checked="" type="checkbox"/> Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)	\$100	\$ 200.00		All other situations	\$200										
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All other situations	\$200																							
<table> <tr> <td>23. <input checked="" type="checkbox"/> Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority</td> <td>\$100</td> <td></td> <td></td> </tr> <tr> <td>International Search Report prepared and provided to the Office</td> <td>\$400</td> <td></td> <td></td> </tr> <tr> <td>All other situations</td> <td>\$500</td> <td>\$ 400.00</td> <td></td> </tr> </table>					23. <input checked="" type="checkbox"/> Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority	\$100			International Search Report prepared and provided to the Office	\$400			All other situations	\$500	\$ 400.00									
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International Search Report prepared and provided to the Office	\$400																							
All other situations	\$500	\$ 400.00																						
<p><b>TOTAL OF ABOVE 21, 22 and 23 =</b> \$ 900.00</p>																								
<input checked="" type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$ for each additional 50 sheets of paper or fraction thereof.																								
Total Sheets	Extra sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE																					
208 - 100 = 108	/50 = 3	x \$250.00	\$ 750.00																					
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e))																								
<table> <tr> <th>CLAIMS</th> <th>NUMBER FILED</th> <th>NUMBER EXTRA</th> <th>RATE</th> <th>\$</th> </tr> <tr> <td>Total Claims</td> <td>106 - 20 = 86</td> <td>x \$ 50.00</td> <td>\$ 4300.00</td> <td></td> </tr> <tr> <td>Independent Claims</td> <td>19 - 3 = 16</td> <td>x \$ 200.00</td> <td>\$ 3200.00</td> <td></td> </tr> <tr> <td colspan="3">MULTIPLE DEPENDENT CLAIM(S) (if applicable)</td> <td>+\$ 360.00</td> <td>\$ 360.00</td> </tr> </table>					CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	Total Claims	106 - 20 = 86	x \$ 50.00	\$ 4300.00		Independent Claims	19 - 3 = 16	x \$ 200.00	\$ 3200.00		MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+\$ 360.00	\$ 360.00
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MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+\$ 360.00	\$ 360.00																				
<p><b>TOTAL OF ABOVE CALCULATIONS =</b> \$ 9640.00</p>																								
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. + \$ 0.00																								
<p><b>SUBTOTAL =</b> \$ 9640.00</p>																								
<p>Petition fee of 130.00 to accept Color Photographs as set forth under 37 CFR 1.17(h).</p>																								
<p><b>TOTAL NATIONAL FEE =</b> \$ 9770.00</p>																								
<p>Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). 40.00 per property</p>																								
<p><b>TOTAL FEES ENCLOSED =</b> \$ 9770.00</p>																								
<table> <tr> <td>mount to be refunded:</td> <td></td> </tr> <tr> <td>charged:</td> <td></td> </tr> </table>					mount to be refunded:		charged:																	
mount to be refunded:																								
charged:																								
<p>a. <input type="checkbox"/> A check in the amount of \$9770.00 to cover the above fees is enclosed.</p>																								
<p>b. <input type="checkbox"/> Please charge my Deposit Account No. <u>19-0741</u> in the amount of 9770.00 to cover the above fees. A duplicate copy of this sheet is enclosed.</p>																								
<p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>19-0741</u>. A duplicate copy of this sheet is enclosed.</p>																								
<p>d. <input checked="" type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p>																								
<p><b>NOTE:</b> Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.</p>																								
<p>SEND ALL CORRESPONDENCE TO:</p>																								
<p>Foley &amp; Lardner LLP Customer Number: 22428</p>																								
<p><i>Courtenay C Brinckerhoff</i> SIGNATURE <u>Courtenay C. Brinckerhoff</u> NAME <u>37,288</u> REGISTRATION NUMBER Date: June 23, 2006</p>																								